



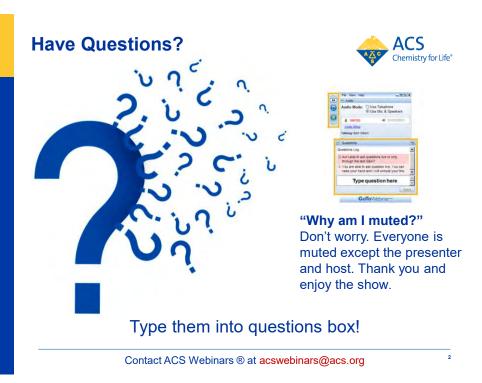
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Thursday, April 16, 2015

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Check out Celia and Kristin's Reddit AMA for your answers to active and passive voice in scientific writing!







## We Agree on More than We Disagree on



- The science author's primary obligation is to convey information clearly, concisely, and objectively
- **2. Clear writing promotes clear thinking**—for both the writer and the reader
- **3. Simple, straightforward writing** should be the goal of every science writer
- **4. Direct, action verbs are usually better** than flabby verbs of being, whatever the voice



### Before We Jump Into the Fray, Let's Review

**Active voice:** the subject of the sentence performs the action—the <u>subject acts</u>

Passive voice: the subject of the sentence receives the action of the verb— the subject is acted upon





The pitcher throws the ball.

The ball is caught by the catcher

Editorial advice from the ACS: "Use the passive voice when the doer of the action is unknown or not important."

A. Coghill, et al., The ACS Style Guide (American Chemical Society, Washington DC, 2006), Chapter 4 http://pubs.acs.org/doi/abs/10.1021/bk-2006-STYG.ch004

Scientific Writing Has Traditionally Been Third Person, Passive Voice...



First person: I, we

**Second person:** you (singular), you (plural)

Third person: he, she, it, they

...but more editors are allowing even encouraging—first person, active voice, because it may be more direct and concise

(or maybe because so many scientists write passive voice so badly...)

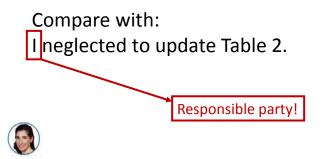
\*"So many papers deserve to be better written than they are."
"Elements of Style," Nature Physics 3, 581 (2007) doi:10.1038/nphys724



### We All Exploit the Passive Voice Sometimes!



For some reason, Table 2 was not updated.



## **Advantages of the Active Voice**



- 1. Emphasizes author responsibility
- 2. Improves readability
- 3. Reduces ambiguity



## 1. Emphasizes Author Responsibility



 No attempt <u>was made</u> to contact nonresponders because they <u>were deemed</u> unimportant to the analysis. (passive)

Vs.

 We did not attempt to contact nonresponders because we deemed them unimportant to the analysis. (active)



### It's OK to use "We" and "I"!



- You/your team designed, conducted, and interpreted the experiments. To imply otherwise is misleading.
- The experiments and analysis did not materialize out of thin air!
- The goal is to be more objective, not to appear more objective.

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"After all, human agents are responsible for designing experiments, and they are present in the laboratory; writing awkward phrases to avoid admitting their responsibility and their presence is an odd way of being objective."—

Jane J. Robinson, Science 7 June 1957: 1160.

## 2. Increases Readability



 The risk of hair loss <u>was increased</u> by vitamin A. (passive)

Vs.

Vitamin A <u>increased</u> the risk of hair loss.
 (active)



## **Increases Readability**



 The hypothesis that smoking causes lung cancer was rejected by tobacco companies. (passive)

Vs.

 Tobacco companies <u>rejected</u> the hypothesis that smoking increases lung cancer. (active)



### **Increases Readability**



- A strong correlation was found between use of the passive voice and other sins of writing. (passive)
- We found a strong correlation between use of the passive voice and other sins of writing. (active)
- Use of the passive voice strongly correlated with other sins of writing. (active)





## In their instructions to authors, the editors of *Science* and *Nature*:

- encourage authors to use the passive voice
- encourage authors to use the active voice
- give no guidance to authors as to the choice of passive versus active voice
- discourage the use of personal pronouns

#### **Journal Editors Want Active Voice!**



#### Science:

"Use active voice when suitable, particularly when necessary for correct syntax (e.g., "To address this possibility, we constructed a  $\lambda$ Zap library ...)."

#### Nature:

"Nature journals prefer authors to write in the active voice ("we performed the experiment...") as experience has shown that readers find concepts and results to be conveyed more clearly if written directly.

### 3. Reduces Ambiguity



General dysfunction of the immune system at the leukocyte level <u>is suggested</u> by both animal and human studies. (passive)

Vs.

Both human and animal studies <u>suggest</u> that <u>diabetics</u> have general immune dysfunction at the leukocyte level. (active)



## Famous use of the Active Voice...



"We wish to suggest a structure for the salt of deoxyribose nucleic acid (D.N.A.)."

No. 4356 April 25, 1953

NATURE

- MOLECULAR STRUCTURE OF

NUCLEIC ACIDS A Structure for Deoxyribose Nucleic Acid

WE wish to suggest a structure for the salt of deoxyribose nucleic acid (D.N.A.). This structure has novel features which are of considerable biological interest.



### **Lessons Learned**



- Avoiding personal pronouns does not make your science more objective.
- The active voice is more clear, direct, and vigorous.
- Journal editors encourage use of the active voice.
- The passive voice is appropriate in some cases, but should be used sparingly and purposefully.



## For More Training:



#### Writing in the Sciences MOOC:

https://class.stanford.edu/courses/Medicine/Sci-Write/Fall2014/about

## **Other Helpful Resources:**



Clinical Chemistry Guide to Scientific Writing:

http://www.aacc.org/publications/clin\_chem/ccgsw/Pages/default.aspx#

 Mimi Zeiger. Essentials of Writing Biomedical Research Papers, McGraw Hill Professional



# Changing from the passive voice to the active voice will correct which of the following writing problems?

- poor logical organization
- imprecise, qualitative language
- ambiguity
- long, rambling sentences
- none of the above

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- a) poor logical organization
- b) imprecise, qualitative language
- c) ambiguity
- d) long, rambling sentences
- e) none of the above



Trick Question

### Merely switching from the passive voice to the active is no magical cure for bad writing

- organizational structure
- word choice
- syntax
- tone
- emphasis

#### are all vastly more important than voice

# The passive voice offers two distinct advantages in scientific writing

- Focuses the reader's attention on the method, result, or principle being described (who cares who added the reagent to the beaker?)
- 2. Presents findings and ideas in a neutral, facts-based, objective way

"However, there is a clear prejudice among today's commentators on voice—particularly those who express themselves in writing textbooks — against one of the commonest types of prose, report writing, where the passive voice is not only common, it is generally less wordy than the active, more direct, and more efficient in conveying information."

Dennis Baron, "The Passive Voice Can Be Your Friend," in *Declining Grammar—and Other Essays on the English Vocabulary* (National Council of Teachers of English, Urbana, Illinois, 1989).

# 1. Emphasizes what was found, not who did the finding

"We determined that annealing the thin films at 700°C produced voids and increased surface roughness." (first person, active voice; 15 words)

"Voids and increased surface roughness were observed in the thin films annealed at 700°C."

(impersonal, passive voice; 14 words)



**Tip:** Make the **important idea**, **observation**, **finding**, **or conclusion the subject** of the sentence to make it stand out for the reader

# 2. Presents findings in a neutral, objective, straightforward way

"We found that increasing the pressure resulted in shear failures along grain boundaries."

(first person/active voice; 13 words)

"Increasing the pressure resulted in shear failures along grain boundaries."

(impersonal/active voice; 10 words)

"Shear failures along grain boundaries were observed as pressure increased."

(impersonal/passive voice; 10 words)



# But Celia, the passive voice is always more awkward and wordy...

- "We measured the ductility of the high-entropy alloys at both ambient and liquid-nitrogen temperatures." (first person/active voice; 14 words)
- "The ductility of the high-entropy alloys was measured at both ambient and liquid-nitrogen temperatures." (impersonal/passive voice; 14 words)
- "We used energy-resolved field-assisted photoemission in a Ag/InP Schottky diode to investigate hot-electron transport in InP." (first person/active voice; 16 words)
- "Hot-electron transport in InP was investigated by energyresolved field-assisted photoemission in a Ag/InP Schottky diode." (impersonal/passive voice; 15 words)

... oops



# What percentage of the sentences in most journal articles are written in the passive voice?

- <10 percent
- ≈20 percent
- ≈35 percent
- >50 percent

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- a) <10 percent
- b) ≈20 percent
- c) ≈35 percent
- d) >50 percent



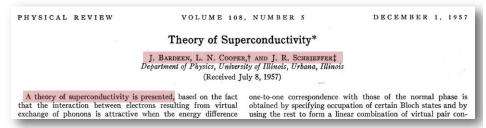
So is this whole debate a "tempest in a teacup"? Maybe, if it diverts us from what should be our primary focus—to share our results with the community in the clearest, most concise way we can.

"This rule [use the active voice] does not, of course, mean that the writer should entirely discard the passive voice, which is frequently convenient and sometimes necessary."



W. Strunk Jr. and E.B. White, The Elements of Style, 4th ed. (New York, Longman, 2000), p. 18.

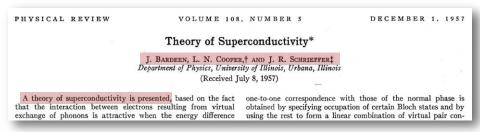
# But Celia, the passive voice allows authors to evade responsibility



"An author's central obligation is to present an accurate and complete account of the research performed, absolutely avoiding deception, including the data collected or used, as well as an objective discussion of the significance of the research...The research report and the data collected should contain sufficient detail and reference to public sources of information to permit a trained professional to reproduce the experimental observations."

American Chemical Society, Ethical Guidelines to Publication of Chemical Research, May 2014. http://pubs.acs.org/userimages/ContentEditor/1218054468605/ethics.pdf

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# For conciseness, avoid beginning sentences with indirect preambles

("There are..." "It is")—use the passive voice and plunge right in

"There were several methods used to produce the thin metal substrates—hot stamping, cold rolling, and cleaving."

"Thin metal substrates were produced by several methods—hot stamping, cold rolling, and cleaving."

This rewrite has the added advantage of putting the important part of the sentence ("thin metal substrates") first and the examples directly after "methods," where they belong (and the PV version is three words shorter, too!)

# Instead of fretting over voice, consider what you want to emphasize

- 1. "We used an SEM to examine surface defects in the GaAs thin films." (AV)
- 2. "An SEM was used to examine surface defects in the GaAs thin films." (PV)
- 3. "Surface defects in the GaAs thin films were examined using an SEM." (PV)
- 4. "Gallium-arsenide thin films were examined for surface defects using an SEM." (PV)

**Put the important stuff first**—that's where readers are paying the most attention



#### **Lessons learned:**

## "The passive voice can be your friend"

- The passive voice lets you control what you want to emphasize and creates an objective, facts-based narrative
- 2. The passive voice doesn't have to be wordy and ambiguous—do your job as a writer
- 3. Strive for clarity and conciseness and let the voice take care of itself



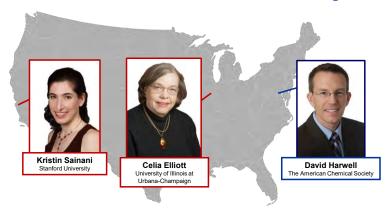
My lectures for technical writers and students: http://physics.illinois.edu/people/Celia/ (scroll down to the bottom of the page for the links)







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