ACS PRF DOCTORAL NEW INVESTIGATOR RESEARCH GRANTS
ELIGIBILITY, TERMS, AND CONDITIONS

1) ACS PRF Doctoral New Investigator research grants are made to non-profit institutions within the U.S. for regularly appointed scientists or engineers whose research may be sponsored by ACS PRF in accordance with subsection (a) of Article SECOND of the Agreement of Transfer of Trust creating the American Chemical Society Petroleum Research Fund (Transfer Agreement), dated October 25, 2000. To be eligible for a Doctoral New Investigator research grant an investigator must:

   Be a member of the faculty of a college or university within the United States; be within the first three years of their first academic appointment as a regular faculty member; have completed all requirements for the Ph.D. (however, an application may be submitted before the degree has been awarded); and be appointed at the rank of Assistant Professor or the equivalent. In addition, applicants must meet the following three criteria. It is assumed that tenure-track faculty meet these criteria.

   a) The non-profit institution submitting the proposal must certify that the individual listed as a principal investigator on the cover page qualifies as a principal investigator under the institution's policies.

   b) In view of the long-standing goal of The ACS Petroleum Research Fund to give priority to support of students (undergraduate, graduate, or postdoctoral), the principal investigator must be eligible to serve as the formal, official supervisor of graduate students in graduate degree programs.

   c) The term of appointment of the principal investigator must promise reasonable continuity of service. The appointment should continue at least through the period of funding requested in the proposal.

2) ACS PRF Doctoral New Investigator grants are $110,000 for a two-year period. This amount is exclusive of any added research supplements that might be recommended and authorized to further assist the principal investigator. ACS PRF grants do not include a contribution to institutional overhead, administrative charges, or departmental allocation. Shifts in budget category allocations require ***prior approval*** of ACS PRF, except as noted in item 4, below.

3) The investigator must adhere to the following limitations which do not change as a result of time extensions:

   a) A principal investigator may request a contribution to his or her summer salary, with an upper limit of $8,000 per grant year, ***including fringe benefits***, to a maximum of $16,000.

   b) Except for field work, travel expenses (including scientific meetings) shall not exceed $2,000 per grant year, or $4,000 total.

4) ACS PRF Doctoral New Investigator grants may be used to support postdoctoral fellows, graduate students, and/or undergraduate students. Funds budgeted for a student at one of the three levels may be subsequently shifted to student support at a different level without prior approval from ACS PRF. Funds may **not** be used to support laboratory technicians, contractors, consultants, or visiting faculty.

5) The institution, by acceptance of this grant, provides assurance that support normally provided by the institution for research of the faculty member will not be diminished.

6) At the end of each grant year, the grantee will submit a financial report, a report of progress, and a personnel statement. Of the support given, funds remaining at the end of each grant year may be carried forward into the next. If funds remain at the expiration of the grant and the principal investigator plans to continue to work on the project, time extensions may be approved by the ACS Petroleum Research Fund, for no more than two years beyond the original grant period, provided reporting requirements are up to date.

7) The Transfer Agreement, wherever applicable, and more specifically subsections (d), (e) and (f) of the Article SECOND thereof shall be complied with by the grantee institution accepting an ACS PRF grant. The reports required by said subsection (d), the publications required by said subsection (e), and a certified copy of any instrument of dedication required by subsection (f) of Article SECOND shall be submitted to the American Chemical Society by the grantee institution. A copy of said Transfer Agreement is attached hereto, is incorporated by reference, and wherever applicable shall be binding upon both the American Chemical Society and the grantee institution.

8) In order to comply with the precise requirements of subsection (e) of said Article SECOND of the Transfer Agreement, each publication prepared in connection with an ACS PRF grant, shall make acknowledgment for the support of this research to the donors of the American Chemical Society Petroleum Research Fund, in the following manner: "Acknowledgment is made to the Donors of the American Chemical Society Petroleum Research Fund, for support (or partial support) of this research."

9) **In compliance with subsection (f) of said Article SECOND of the Transfer Agreement, any patent which is taken out by or on behalf of the principal investigator or the grantee institution shall be dedicated to the public, royalty free.**

10) Acceptance of an ACS PRF Doctoral New Investigator grant will be conditioned upon agreement by the grantee institution that in the event the principal investigator is unable for any reason to conduct the research proposed, the funds, if previously paid by the American Chemical Society, shall, upon demand, be returned in full to the Society, and further, that in the event the principal investigator is unable for any reason to continue with the research after it has commenced, this grant shall be terminated forthwith and the unexpended and unencumbered balance of any funds theretofore advanced shall be returned to the Society.

May 2016